

# Limit Problems for Plates Surrounded by Soft Material

EMILIO ACERBI & GIUSEPPE BUTTAZZO

Communicated by E. GIUSTI

## I. Introduction

Consider an inhomogeneous clamped plate  $D$ , submitted to an external force  $g(x)$ . The (small) vertical displacement  $u(x)$  solves the minimum problem

$$\min \left\{ \int_D \left[ \frac{E(x)}{1 - \sigma^2(x)} (|\Delta u|^2 - 2(1 - \sigma(x)) \det D^2 u) + g(x) u \right] dx : u \in H_0^2(D) \right\},$$

where  $E$  and  $\sigma$  are the Young modulus and the Poisson coefficient respectively, and  $D^2 u$  denotes the  $2 \times 2$  matrix of second derivatives of  $u$ . We study a plate having a central part  $\Omega$  surrounded by an increasingly narrow annulus  $\Sigma_\varepsilon$  made of an increasingly soft material (*i.e.* the Young modulus  $E_\varepsilon$  tends to zero in  $\Sigma_\varepsilon$ ). The free energy of the plate is then

$$(1.1) \quad F_\varepsilon(u) = \int_\Omega \frac{E}{1 - \sigma^2} (|\Delta u|^2 - 2(1 - \sigma) \det D^2 u) dx \\ + \int_{\Sigma_\varepsilon} \frac{E_\varepsilon}{1 - \sigma_\varepsilon^2} (|\Delta u|^2 - 2(1 - \sigma_\varepsilon) \det D^2 u) dx.$$

We study in particular the behavior as  $\varepsilon \rightarrow 0$  of the solution  $u_\varepsilon$  of

$$(1.2) \quad \min \left\{ F_\varepsilon(u) + \int_{\Omega \cup \Sigma_\varepsilon} g(x) u dx : u \in H_0^2(\bar{\Omega} \cup \Sigma_\varepsilon) \right\}.$$

If  $r_\varepsilon$  is the width of  $\Sigma_\varepsilon$ , we may have different limit problems depending on the relation between  $r_\varepsilon$  and  $E_\varepsilon$ : let  $\sigma_0 = \lim_{\varepsilon \rightarrow 0} \sigma_\varepsilon$  and set

$$G(u) = \int_\Omega \frac{E}{1 - \sigma^2} (|\Delta u|^2 - 2(1 - \sigma) \det D^2 u) dx.$$

Then, if  $E_\epsilon \gg r_\epsilon$ , the limit problem is

$$\min \{G(u) : u \in H_0^2(\Omega)\}$$

(clamped plate); if  $\lim_{\epsilon \rightarrow 0} E_\epsilon/r_\epsilon = M \neq 0$ , the limit problem is

$$\min \left\{ G(u) + M \int_{\partial\Omega} \frac{1}{1 - \sigma_0^2} \left| \frac{\partial u}{\partial \nu} \right|^2 ds : u \in H^2(\Omega) \cap H_0^1(\Omega) \right\}$$

(the plate becomes simply supported, but a price is paid for having non-zero normal derivative); if  $r_\epsilon \gg E_\epsilon \gg r_\epsilon^3$  the limit problem is

$$\min \{G(u) : u \in H^2(\Omega) \cap H_0^1(\Omega)\}$$

(simply supported plate); if  $\lim_{\epsilon \rightarrow 0} E_\epsilon/r_\epsilon^3 = L$  the limit problem is

$$\min \left\{ G(u) + 12L \int_{\partial\Omega} \frac{1}{1 - \sigma_0^2} |u|^2 ds : u \in H^2(\Omega) \right\}$$

(the plate may take off, but a price is paid for having a non-zero boundary value). In all four cases, if  $\lim_{\epsilon \rightarrow 0} E_\epsilon/r_\epsilon^3 > 0$ , we prove that the solutions  $u_\epsilon$  converge in  $L^2(\mathbb{R}^2)$  to the solution of the limit problem.

The foregoing example is a particular case of our theorem [II.3] and our results in section IV in which we consider the general energy integral

$$F_\epsilon(u) = \int_{\Omega} g_\epsilon(x, u, Du, D^2u) dx + \epsilon \int_{\Sigma_\epsilon} f_\epsilon(x, D^2u) dx$$

where  $g_\epsilon$  is quasi-convex in  $D^2u$  and  $f_\epsilon$  is convex in  $D^2u$ . In addition the functions  $g_\epsilon$  and  $f_\epsilon$  need not be quadratic, but they satisfy coerciveness and growth conditions of the form

$$|D^2u|^p \leq g_\epsilon(x, u, Du, D^2u) \leq c(1 + |D^2u|^p),$$

$$|D^2u|^p \leq f_\epsilon(x, D^2u) \leq c(1 + |D^2u|^p)$$

with  $p > 1$ .

A similar problem in the case of membranes (*i.e.* when the energy integral contains only the first derivatives  $Du$  and not  $D^2u$ ) has been studied by several authors: see for example [2], [3], [4], [8] if the energy is a quadratic form, and [1] in the general case.

## II. Notations and Statement of the Result

We use the following symbols:

- $\Omega$  a bounded open subset of  $\mathbb{R}^n$ , with  $C^{2,1}$  boundary;
- $\nu$  the outward normal vector to  $\Omega$ ;
- $\delta$  the function  $\delta(x) = \text{dist}(x, \overline{\Omega})$ ;
- $h$  a smooth function from  $\partial\Omega$  into  $]0, +\infty[$ ;

- $\{r_\varepsilon\}_{\varepsilon>0}$  a set of positive real numbers such that  $\lim_{\varepsilon \rightarrow 0} r_\varepsilon = 0$ ;  
 $\Sigma_\varepsilon$  the set  $\{\sigma + tv(\sigma) : \sigma \in \partial\Omega, 0 < t < r_\varepsilon h(\sigma)\}$ ;  
 $\Omega_\varepsilon$  the set  $\bar{\Omega} \cup \Sigma_\varepsilon$ ;  
 $p$  a real number greater than 1;  
 $f$  a function from  $\mathbb{R}^n \times \mathbb{R}^{n \times n}$  into  $[0, +\infty[$ ;  
 $G$  a functional from  $W^{2,p}(\Omega)$  into  $[0, +\infty[$ .

By the regularity assumptions on  $\partial\Omega$ , the mapping  $(\sigma, t) \mapsto \sigma + tv(\sigma)$  is invertible on  $\Sigma_\varepsilon$  if  $\varepsilon$  is small enough; in particular the point  $\sigma(x) \in \partial\Omega$  of minimum distance from  $x \in \Sigma_\varepsilon$  is a regular function of  $x$ . We shall write  $h(x)$  and  $v(x)$  for  $h(\sigma(x))$ ,  $v(\sigma(x))$ . We make the following assumptions on the function  $f$ :

(2.1) the function  $f(x, z)$  is continuous in  $x$  and convex in  $z$ ;

(2.2) for all  $x \in \mathbb{R}^n, z \in \mathbb{R}^{n \times n}$

$$|z|^p \leq f(x, z) \leq c(1 + |z|^p);$$

(2.3) there is a non-negative continuous function  $\gamma(x, z)$  which is convex and  $p$ -homogeneous as a function of  $z$  and satisfies

$$\sup \{|f(x, z) - \gamma(x, z)| : x \in \mathbb{R}^n\} \leq \varrho(|z|)(1 + |z|^p)$$

for all  $z \in \mathbb{R}^{n \times n}$ , where  $\varrho : [0, +\infty[ \rightarrow [0, +\infty[$  is a continuous, decreasing function which vanishes at infinity.

As for the functional  $G$ , we suppose that

(2.4)  $G$  is lower semicontinuous in the topology  $L^p(\Omega)$ ;

(2.5)  $G$  is continuous in the strong topology of  $W^{2,p}(\Omega)$ ;

(2.6)  $G(u) \geq \int_{\Omega} |D^2u|^p dx$  for every  $u \in W^{2,p}(\Omega)$ .

If  $u \in L^p(\mathbb{R}^n)$  is such that  $u|_{\Omega} \in W^{2,p}(\Omega)$ , we write simply  $G(u)$  instead of  $G(u|_{\Omega})$ . We remark that conditions (2.4), (2.5), (2.6) are fulfilled by a broad class of functionals, for example the integrals  $\int_{\Omega} g(x, u, Du, D^2u) dx$  where  $g(x, s, s', s'')$  is a Carathéodory function convex (or quasi-convex in the sense of MORREY [7]) in  $s''$  and satisfying

$$|s''|^p \leq g(x, s, s', s'') \leq c(1 + |s''|^p).$$

For every  $u \in L^p(\mathbb{R}^n)$  and  $\varepsilon > 0$  set

$$F_\varepsilon(u) = \begin{cases} G(u) + \varepsilon \int_{\Sigma_\varepsilon} f(x, D^2u) dx & \text{if } u \in W_0^{2,p}(\Omega_\varepsilon) \\ +\infty & \text{otherwise.} \end{cases}$$

We wish to characterize the  $\Gamma$ -limit of  $F_\varepsilon$  in the topology  $L^p(\mathbb{R}^n)$ , depending on the behavior of  $r_\varepsilon$ . Indeed, it is well known that the  $\Gamma$ -convergence of a sequence

of functionals is strictly related to the convergence of their minimum points and minimum values: more precisely, let  $X$  be a metric space, let  $(F_\varepsilon)_{\varepsilon>0}$  be mappings from  $X$  into  $\overline{\mathbb{R}}$ , and let  $x \in X$ . We set

$$\Gamma^-(X) \liminf_{\varepsilon \rightarrow 0} F_\varepsilon(x) = \inf \left\{ \liminf_{\varepsilon \rightarrow 0} F_\varepsilon(x_\varepsilon) : x_\varepsilon \rightarrow x \text{ in } X \right\},$$

$$\Gamma^-(X) \limsup_{\varepsilon \rightarrow 0} F_\varepsilon(x) = \inf \left\{ \limsup_{\varepsilon \rightarrow 0} F_\varepsilon(x_\varepsilon) : x_\varepsilon \rightarrow x \text{ in } X \right\}.$$

If these two  $\Gamma$ -limits are the same at  $x$ , their common value will be denoted by

$$\Gamma^-(X) \lim_{\varepsilon \rightarrow 0} F_\varepsilon(x).$$

**Theorem [II.1]** (see [5], Theorem 2.3). *If  $\Phi : X \rightarrow \mathbb{R}$  is continuous, then*

$$\Gamma^-(X) \liminf_{\varepsilon \rightarrow 0} (\Phi + F_\varepsilon)(x) = \Phi(x) + \Gamma^-(X) \liminf_{\varepsilon \rightarrow 0} F_\varepsilon(x),$$

$$\Gamma^-(X) \limsup_{\varepsilon \rightarrow 0} (\Phi + F_\varepsilon)(x) = \Phi(x) + \Gamma^-(X) \limsup_{\varepsilon \rightarrow 0} F_\varepsilon(x).$$

**Theorem [II.2]** (see [5], Theorem 2.6). *Assume that*

- (i) *the family  $(F_\varepsilon)$  is equicoercive, i.e., for every  $c > 0$  there is a compact subset  $K_c$  of  $X$  such that*

$$\{x \in X : F_\varepsilon(x) \leq c\} \subseteq K_c \text{ for every } \varepsilon > 0;$$

- (ii) *for every  $x \in X$ ,  $\Gamma^-(X) \lim_{\varepsilon \rightarrow 0} F_\varepsilon(x)$  exists.*

*Set  $F = \Gamma^-(X) \lim_{\varepsilon \rightarrow 0} F_\varepsilon$ . Then  $F$  has a minimum on  $X$  and  $\min_X F = \lim_{\varepsilon \rightarrow 0} \left( \inf_X F_\varepsilon \right)$ ; moreover if  $\lim_{\varepsilon \rightarrow 0} F_\varepsilon(x_\varepsilon) = \lim_{\varepsilon \rightarrow 0} \left( \inf_X F_\varepsilon \right)$  and  $x_\varepsilon \rightarrow \hat{x}$  in  $X$ , then  $\hat{x}$  is a minimum point for  $F$ .*

We now state the main result: set

$$K_p = 2^p \left( \frac{2p-1}{p-1} \right)^{p-1};$$

for every  $u \in W^{2,p}(\Omega)$  and  $L \in [0, +\infty[$  we define

$$G'_L(u) = G(u) + LK_p \int_{\partial\Omega} |u(\sigma)|^p h^{1-2p}(\sigma) \gamma(\sigma, \nu(\sigma)) \otimes \nu(\sigma) dH^{n-1}(\sigma).$$

Let  $u \in W^{2,p}(\Omega)$  and  $M \in [0, +\infty]$ . If  $M < +\infty$  we define

$$G_M''(u) = \begin{cases} G(u) + M \int_{\partial\Omega} \left| \frac{\partial u}{\partial \nu} \right|^p h^{1-p}(\sigma) \gamma(\sigma, \nu(\sigma) \otimes \nu(\sigma)) dH^{n-1}(\sigma) & \text{if } u \in W_0^{1,p}(\Omega) \\ +\infty & \text{otherwise;} \end{cases}$$

if  $M = +\infty$  we define

$$G_\infty''(u) = \begin{cases} G(u) & \text{if } u \in W_0^{2,p}(\Omega) \\ +\infty & \text{otherwise.} \end{cases}$$

In Section III we shall prove

**Theorem [II.3].** Assume that (2.1), ..., (2.6) hold and that both  $\lim_{\varepsilon \rightarrow 0} \varepsilon/r_\varepsilon^{2p-1} = L \in [0, +\infty]$  and  $\lim_{\varepsilon \rightarrow 0} \varepsilon/r_\varepsilon^{p-1} = M \in [0, +\infty]$  exist. Then for every  $u \in W^{2,p}(\Omega)$ ,  $\Gamma^-(L^p(\mathbb{R}^n)) \lim_{\varepsilon \rightarrow 0} F_\varepsilon(u)$  exists, and

- (i) if  $L < +\infty$ , then  $F = G'_L$ ;
- (ii) if  $L = +\infty$ , then  $F = G''_M$ .

Moreover if  $L > 0$  and  $g \in L^q(\mathbb{R}^n)$ , with  $\frac{1}{p} + \frac{1}{q} = 1$ , then from every sequence of minimum points of

$$F_\varepsilon(u) + \int_{\Omega_\varepsilon} gu \, dx$$

we may extract a subsequence converging in  $L^p(\mathbb{R}^n)$  to a minimum point of

$$F(u) + \int_{\Omega} gu \, dx.$$

### III. Proof of the Result

In what follows the letter  $c$  will denote any positive constant, and if no confusion is possible we will not write the variables  $x$  and  $\sigma$  in the integrals. We shall later need the following lemma:

**Lemma [III.1].** Let  $b \in L^\infty(0, 1)$ ,  $a \in C(\mathbb{R}^n)$  and  $u_\varepsilon \rightarrow u$  strongly in  $W^{1,p}(\mathbb{R}^n)$ . If we set  $\bar{b} = \int_0^1 b(t) \, dt$ , then

$$\begin{aligned} \lim \frac{1}{r_\varepsilon} \int_{\Sigma_\varepsilon} |u_\varepsilon(x)|^p a(x) b\left(\frac{\delta(x)}{r_\varepsilon h(x)}\right) dx \\ = \bar{b} \int_{\partial\Omega} |u(\sigma)|^p a(\sigma) h(\sigma) dH^{n-1}(\sigma). \end{aligned}$$

**Proof.** Let  $v \in W^{1,p}(\mathbb{R}^n)$ ; then

$$\begin{aligned}
 & \int_{\partial\Omega} dH^{n-1}(\sigma) \int_0^{r_\varepsilon h(\sigma)} \left| |v(\sigma + tv(\sigma))|^p - |v(\sigma)|^p \right| dt \\
 \leq & c \int_{\partial\Omega} dH^{n-1}(\sigma) \int_0^{r_\varepsilon h(\sigma)} \left| |v(\sigma + tv(\sigma)) - v(\sigma)| \cdot [ |v(\sigma + tv(\sigma))|^{p-1} + |v(\sigma)|^{p-1} ] \right| dt \\
 \leq & c \int_{\partial\Omega} dH^{n-1}(\sigma) \int_0^{r_\varepsilon h(\sigma)} \left[ \int_0^{r_\varepsilon h(\sigma)} |Dv(\sigma + sv(\sigma))| ds \right] \\
 & \times [ |v(\sigma + tv(\sigma))|^{p-1} + |v(\sigma)|^{p-1} ] dt \\
 \leq & c \int_{\partial\Omega} dH^{n-1}(\sigma) \left[ \int_0^{r_\varepsilon h(\sigma)} |Dv(\sigma + sv(\sigma))|^p ds \right]^{1/p} \\
 & \times r_\varepsilon^{\frac{p-1}{p}} \left[ \int_0^{r_\varepsilon h(\sigma)} [ |v(\sigma + tv(\sigma))|^p + |v(\sigma)|^p ] dt \right]^{\frac{p-1}{p}} r_\varepsilon^{1/p} \\
 \leq & cr_\varepsilon \left[ \int_{\Sigma_\varepsilon} |Dv|^p dx \right]^{1/p} \left[ \int_{\Sigma_\varepsilon} |v|^p dx + r_\varepsilon \int_{\partial\Omega} |v|^p dH^{n-1} \right]^{\frac{p-1}{p}},
 \end{aligned}$$

with  $c$  independent of  $v$ . This inequality with  $v = u_\varepsilon$  yields

$$(3.1) \quad \lim_{\varepsilon \rightarrow 0} \left| \frac{1}{r_\varepsilon} \int_{\Sigma_\varepsilon} [ |u_\varepsilon(x)|^p - |u_\varepsilon(\sigma(x))|^p ] a(x) b \left( \frac{\delta(x)}{r_\varepsilon h(x)} \right) dx \right| = 0.$$

Because  $a(x)$  is assumed continuous,

$$(3.2) \quad \lim_{\varepsilon \rightarrow 0} \left| \frac{1}{r_\varepsilon} \int_{\Sigma_\varepsilon} |u_\varepsilon(\sigma(x))|^p [a(x) - a(\sigma(x))] b \left( \frac{\delta(x)}{r_\varepsilon h(x)} \right) dx \right| = 0;$$

finally, since  $u_\varepsilon \rightarrow u$  in  $L^p(\partial\Omega)$ ,

$$\begin{aligned}
 (3.3) \quad & \lim_{\varepsilon \rightarrow 0} \frac{1}{r_\varepsilon} \int_{\partial\Omega} dH^{n-1}(\sigma) |u_\varepsilon(\sigma)|^p a(\sigma) \int_0^{r_\varepsilon h(\sigma)} b \left( \frac{t}{r_\varepsilon h(\sigma)} \right) dt \\
 & = \lim_{\varepsilon \rightarrow 0} \bar{b} \int_{\partial\Omega} |u_\varepsilon|^p ah dH^{n-1} = \bar{b} \int_{\partial\Omega} |u|^p ah dH^{n-1},
 \end{aligned}$$

and the conclusion follows by (3.1), (3.2) and (3.3).  $\square$

We divide the proof of Theorem [II.3] into several steps. For every  $u \in W^{2,p}(\Omega)$ , set

$$F^+(u) = I^-(L^p(\mathbb{R}^n)) \limsup_{\varepsilon \rightarrow 0} F_\varepsilon(u),$$

$$F^-(u) = I^-(L^p(\mathbb{R}^n)) \liminf_{\varepsilon \rightarrow 0} F_\varepsilon(u).$$

In the first "critical" case  $\varepsilon \sim r_\varepsilon^{2p-1}$  (i.e.  $0 < L < +\infty$ ) we prove separately the two inequalities  $F^+ \leq G'_L$  and  $G'_L \leq F^-$ ; analogously, we prove that

$F^+ \leqq G_M''$  and  $G_M'' \leqq F^-$  in the second "critical" case  $\varepsilon \sim r_\varepsilon^{p-1}$  (i.e.  $0 < M < +\infty$ ). The result in the remaining cases will be deduced easily.

Case  $\varepsilon \sim r_\varepsilon^{2p-1}$ ,  $F^+ \leqq G_L'$ .

Let  $u \in W^{2,p}(\Omega)$ : the regularity of  $\partial\Omega$  lets us suppose that  $u \in W^{2,p}(\mathbb{R}^n)$ . For every  $\varepsilon > 0$  set

$$\varphi_\varepsilon(x) = \begin{cases} 1 & \text{if } x \in \bar{\Omega}, \\ \Phi\left(\frac{\delta(x)}{r_\varepsilon h(x)}\right) & \text{if } x \in \Sigma_\varepsilon, \\ 0 & \text{if } x \notin \Omega_\varepsilon \end{cases}$$

where  $\Phi: [0,1] \rightarrow \mathbb{R}$  is the solution of the minimum problem

$$\min \left\{ \int_0^1 |\psi''(t)|^p dt : \psi \in W^{2,p}(0,1), \psi(0) = 1, \psi(1) = \psi'(0) = \psi'(1) = 0 \right\}.$$

Some easy computation shows that

$$\Phi(t) = \frac{p-1}{p} 2^{\frac{p}{p-1}} |t - \frac{1}{2}|^{\frac{p}{p-1}} (t - \frac{1}{2}) - \frac{2p-1}{p} (t - \frac{1}{2}) + \frac{1}{2},$$

$$\int_0^1 |\Phi''(t)|^p dt = K_p$$

and

$$(3.4) \quad |\Phi''(t)|^{p-2} \Phi''(t) = K_p(t - \frac{1}{2}).$$

In particular, the function  $\Phi$  is of class  $C^2$ , and by our assumptions on  $\partial\Omega$  and on the function  $h$  we have in  $\Sigma_\varepsilon$

$$(3.5) \quad \left| D\varphi_\varepsilon - \frac{1}{r_\varepsilon} \Phi' \left( \frac{\delta}{hr_\varepsilon} \right) \frac{\nu}{h} \right| \leqq c,$$

$$\left| D^2\varphi_\varepsilon - \frac{1}{r_\varepsilon^2} \Phi'' \left( \frac{\delta}{hr_\varepsilon} \right) \frac{\nu \otimes \nu}{h^2} \right| \leqq \frac{c}{r_\varepsilon}.$$

Setting  $u_\varepsilon = u\varphi_\varepsilon$  we have  $u_\varepsilon \in W_0^{2,p}(\Omega_\varepsilon)$  and  $u_\varepsilon \rightarrow u \cdot 1_\Omega$  in  $L^p(\mathbb{R}^n)$ . By the convexity of  $f$  we have for every  $t \in (0,1)$

$$F_\varepsilon(u_\varepsilon) \leqq G(u) + \varepsilon \int_{\Sigma_\varepsilon} \left[ f\left(x, \frac{1}{t} u D^2\varphi_\varepsilon\right) + (1-t)f\left(x, \frac{1}{1-t} (Du \otimes D\varphi_\varepsilon + D\varphi_\varepsilon \otimes Du + \varphi_\varepsilon D^2u)\right) \right] dx$$

$$\leqq G(u) + t\varepsilon \int_{\Sigma_\varepsilon} f\left(x, \frac{1}{t} u D^2\varphi_\varepsilon\right) dx$$

$$+ c\varepsilon [\text{meas}(\Sigma_\varepsilon) + r_\varepsilon^{-p} (1-t)^{-p} \|u\|_{W^{2,p}(\mathbb{R}^n)}^p];$$

therefore

$$(3.6) \quad \limsup_{\varepsilon \rightarrow 0} F_\varepsilon(u_\varepsilon) \leq G(u) + t \limsup_{\varepsilon \rightarrow 0} \varepsilon \int_{\Sigma_\varepsilon} f\left(x, \frac{1}{t} u D^2 \varphi_\varepsilon\right) dx.$$

Fix  $R > 0$  and set  $A_{R,\varepsilon} = \{x \in \Sigma_\varepsilon : |u D^2 \varphi_\varepsilon| < Rt\}$ ; then by (2.3), (3.5)

$$\begin{aligned} \varepsilon \int_{\Sigma_\varepsilon} f\left(x, \frac{1}{t} u D^2 \varphi_\varepsilon\right) dx &\leq \varepsilon \int_{\Sigma_\varepsilon} \gamma\left(x, \frac{1}{t} u D^2 \varphi_\varepsilon\right) dx + \varepsilon \int_{A_{R,\varepsilon}} \varrho(0) (1 + R^p) dx \\ &+ \varepsilon \int_{\Sigma_\varepsilon \setminus A_{R,\varepsilon}} \varrho(R) \left(1 + \left|\frac{cu}{tr_\varepsilon^2}\right|^p\right) dx \leq \frac{\varepsilon}{t^p} \int_{\Sigma_\varepsilon} \gamma(x, u D^2 \varphi_\varepsilon) dx \\ &+ \varepsilon \varrho(0) (2 + R^p) \text{meas}(\Sigma_\varepsilon) + \varrho(R) \frac{c\varepsilon}{t^p r_\varepsilon^{2p}} \int_{\Sigma_\varepsilon} |u|^p dx. \end{aligned}$$

Now let  $\varepsilon \rightarrow 0$ , apply Lemma [III.1], and then let  $R \rightarrow +\infty$ ; we obtain

$$(3.7) \quad \limsup_{\varepsilon \rightarrow 0} \varepsilon \int_{\Sigma_\varepsilon} f\left(x, \frac{1}{t} u D^2 \varphi_\varepsilon\right) dx \leq t^{-p} \limsup_{\varepsilon \rightarrow 0} \varepsilon \int_{\Sigma_\varepsilon} \gamma(x, u D^2 \varphi_\varepsilon) dx.$$

As above, by (3.5) and by the assumptions on  $\gamma$  we have for every  $s \in (0, 1)$

$$\begin{aligned} \varepsilon \int_{\Sigma_\varepsilon} \gamma(x, u D^2 \varphi_\varepsilon) dx &\leq c(1 - s)^{1-p} \frac{\varepsilon}{r_\varepsilon^p} \int_{\Sigma_\varepsilon} |u|^p dx \\ &+ \frac{\varepsilon}{r_\varepsilon^{2p} s^{p-1}} \int_{\Sigma_\varepsilon} \frac{\gamma(x, v \otimes v)}{h^{2p}} |u|^\rho \left| \Phi''\left(\frac{\delta}{hr_\varepsilon}\right) \right|^p dx, \end{aligned}$$

and by Lemma [III.1]

$$(3.8) \quad \limsup_{\varepsilon \rightarrow 0} \varepsilon \int_{\Sigma_\varepsilon} \gamma(x, u D^2 \varphi_\varepsilon) dx \leq Ls^{1-2p} K_p \int_{\partial\Omega} |u|^\rho h^{1-2p} \gamma(\sigma, v \otimes v) dH^{n-1}.$$

Using (3.6), (3.7), (3.8) and letting  $t \rightarrow 1, s \rightarrow 1$ , we obtain

$$F^+(u) \leq \limsup_{\varepsilon \rightarrow 0} F_\varepsilon(u_\varepsilon) \leq G'_L(u). \quad \square$$

Case  $\varepsilon \sim r_\varepsilon^{2p-1}, G'_L \leq F^-$ .

Take  $u \in W^{2,p}(\Omega)$ ; again we may assume that  $u \in W^{2,p}(\mathbb{R}^n)$ . Letting  $u_\varepsilon \in W_0^{2,p}(\Omega_\varepsilon)$  be such that  $u_\varepsilon \rightarrow u|_\Omega$  in  $L^p(\mathbb{R}^n)$ , we have to prove that

$$G'_L(u) \leq \liminf_{\varepsilon \rightarrow 0} F_\varepsilon(u_\varepsilon);$$

hence we may suppose

$$\liminf_{\varepsilon \rightarrow 0} F_\varepsilon(u_\varepsilon) = \lim_{\varepsilon \rightarrow 0} F_\varepsilon(u_\varepsilon) < +\infty,$$

whence

$$(3.9) \quad \int_\Omega |D^2 u_\varepsilon|^p dx + \varepsilon \int_{\Sigma_\varepsilon} |D^2 u_\varepsilon|^p dx \leq c.$$



In particular, without loss of generality we may assume that  $u_\varepsilon \rightarrow u$  weakly in  $W^{2,p}(\Omega)$ .

By the semicontinuity of  $G$  it will suffice to prove that

$$\liminf_{\varepsilon \rightarrow 0} \varepsilon \int_{\Sigma_\varepsilon} f(x, D^2 u_\varepsilon) dx \geq LK_p \int_{\partial\Omega} |u|^p h^{1-2p} \gamma(\sigma, \nu \otimes \nu) dH^{n-1}.$$

Fix  $R > 0$  and set  $B_{R,\varepsilon} = \{x \in \mathbb{R}^n : |D^2 u_\varepsilon| < R\}$ ; by (2.3), (3.9)

$$\begin{aligned} \varepsilon \int_{\Sigma_\varepsilon} f(x, D^2 u_\varepsilon) dx &\geq \varepsilon \int_{\Sigma_\varepsilon} \gamma(x, D^2 u_\varepsilon) dx \\ &\quad - \varepsilon \int_{\Sigma_\varepsilon \cap B_{R,\varepsilon}} \varrho(0) (1 + R^p) dx - \varepsilon \int_{\Sigma_\varepsilon \setminus B_{R,\varepsilon}} \varrho(R) (1 + |D^2 u_\varepsilon|^p) dx \\ &\geq \varepsilon \int_{\Sigma_\varepsilon} \gamma(x, D^2 u_\varepsilon) dx - \varepsilon \text{meas}(\Sigma_\varepsilon) \varrho(0) (2 + R^p) - c\varrho(R). \end{aligned}$$

Since  $R$  is arbitrary, we have only to prove that

$$(3.10) \quad \liminf_{\varepsilon \rightarrow 0} \varepsilon \int_{\Sigma_\varepsilon} \gamma(x, D^2 u_\varepsilon) dx \geq LK_p \int_{\partial\Omega} |u|^p h^{1-2p} \gamma(\sigma, \nu \otimes \nu) dH^{n-1}.$$

It is easy to construct by convolutions a sequence  $(\gamma_k)$  of functions from  $\mathbb{R}^n \times \mathbb{R}^{n \times n}$  into  $[0, +\infty[$  such that

- (i) for every  $x \in \mathbb{R}^n$  the function  $\gamma_k(x, \cdot)$  is convex and  $p$ -homogeneous;
- (ii)  $\gamma_k$  is of class  $C^\infty$  on  $\mathbb{R}^n \times (\mathbb{R}^{n \times n} \setminus \{0\})$ ;
- (iii) for every  $x \in \mathbb{R}^n$  and  $z \in \mathbb{R}^{n \times n}$

$$|z|^p \leq \gamma_k(x, z) \leq c |z|^p;$$

- (iv) for every  $x \in \mathbb{R}^n$  and  $z \in \mathbb{R}^{n \times n}$

$$|\gamma_k(x, z) - \gamma(x, z)| \leq \frac{1}{k} |z|^p.$$

By (3.9), (3.11) (iv) we obtain

$$\begin{aligned} &\lim_{k \rightarrow \infty} \left[ \liminf_{\varepsilon \rightarrow 0} \varepsilon \int_{\Sigma_\varepsilon} \gamma_k(x, D^2 u_\varepsilon) dx - LK_p \int_{\partial\Omega} |u|^p h^{1-2p} \gamma_k(\sigma, \nu \otimes \nu) dH^{n-1} \right] \\ &= \liminf_{\varepsilon \rightarrow 0} \varepsilon \int_{\Sigma_\varepsilon} \gamma(x, D^2 u_\varepsilon) dx - LK_p \int_{\partial\Omega} |u|^p h^{1-2p} \gamma(\sigma, \nu \otimes \nu) dH^{n-1}, \end{aligned}$$

and so in (3.10) we may assume that  $\gamma$  is of class  $C^\infty$  on  $\mathbb{R}^n \times (\mathbb{R}^{n \times n} \setminus \{0\})$ . For simplicity we set

$$\Phi'_\varepsilon(x) = \frac{1}{r_\varepsilon^2 h^2(x)} \Phi'' \left( \frac{\delta(x)}{r_\varepsilon h(x)} \right),$$

$$\gamma'_{ij}(x) = \frac{\partial \gamma}{\partial z_{ij}}(x, \nu(x) \otimes \nu(x));$$

moreover, we adopt the usual summation convention over repeated indices. Because  $\gamma$  is convex and  $p$ -homogeneous,

$$\begin{aligned} \gamma(x, D^2u_\epsilon) &\geq \gamma(x, u\Phi'_\epsilon v \otimes v) + (D^2_{ij}u_\epsilon - u\Phi''_\epsilon v_i v_j) \frac{\partial \gamma}{\partial z_{ij}}(x, u\Phi'_\epsilon v \otimes v) \\ &= |u\Phi'_\epsilon|^p \gamma(x, v \otimes v) + (D^2_{ij}u_\epsilon - u\Phi''_\epsilon v_i v_j) |u\Phi'_\epsilon|^{p-2} u\Phi'_\epsilon \gamma'_{ij} \end{aligned}$$

and by (3.4)

$$(3.12) \quad \begin{aligned} \gamma(x, D^2u_\epsilon) &\geq |u\Phi'_\epsilon|^p \gamma(x, v \otimes v) \\ &\quad + K_p(D^2_{ij}u_\epsilon - u\Phi''_\epsilon v_i v_j) \frac{|u|^{p-2}u}{h^{2p-2}r_\epsilon^{2p-2}} \left( \frac{\delta}{hr_\epsilon} - \frac{1}{2} \right) \gamma'_{ij}. \end{aligned}$$

Applying Lemma [III.1] yields

$$(3.13) \quad \lim_{\epsilon \rightarrow 0} \epsilon \int_{\Sigma'_\epsilon} |u\Phi'_\epsilon|^p \gamma(x, v \otimes v) dx = LK_p \int_{\partial\Omega} |u|^p h^{1-2p} \gamma(\sigma, v \otimes v) dH^{n-1}.$$

Inequalities (3.5) imply that

$$|u\Phi'_\epsilon v \otimes v - D^2(u\varphi_\epsilon)| \leq \frac{c}{r_\epsilon} (|u| + |Du| + |D^2u|),$$

so that

$$(3.14) \quad \lim_{\epsilon \rightarrow 0} \epsilon \int_{\Sigma'_\epsilon} |u\Phi''_\epsilon v \otimes v - D^2(u\varphi_\epsilon)| |u|^{p-1} r_\epsilon^{2-2p} dx = 0.$$

By (3.12), (3.13), (3.14) to conclude the proof of (3.10) we have only to show that

$$\lim_{\epsilon \rightarrow 0} r_\epsilon \int_{\Sigma'_\epsilon} D^2_{ij}(u_\epsilon - u\varphi_\epsilon) \frac{|u|^{p-2}u}{h^{2p-2}} \left( \frac{\delta}{hr_\epsilon} - \frac{1}{2} \right) \gamma'_{ij} dx = 0.$$

Integrating by parts, and recalling that  $u_\epsilon - u\varphi_\epsilon \in W^{2,p}_0(\Omega_\epsilon)$ , we make the integral above become

$$\begin{aligned} &r_\epsilon \int_{\Sigma'_\epsilon} (u_\epsilon - u\varphi_\epsilon) D^2_{ij} \left[ \frac{|u|^{p-2}u}{h^{2p-2}} \left( \frac{\delta}{hr_\epsilon} - \frac{1}{2} \right) \gamma'_{ij} \right] dx \\ &\quad + \frac{r_\epsilon}{2} \int_{\partial\Omega} D_i(u_\epsilon - u) \frac{|u|^{p-2}u}{h^{2p-2}} \gamma'_{ij} v_j dH^{n-1} \\ &\quad + r_\epsilon \int_{\partial\Omega} (u_\epsilon - u) D_j \left[ \frac{|u|^{p-2}u}{h^{2p-2}} \left( \frac{\delta}{hr_\epsilon} - \frac{1}{2} \right) \gamma'_{ij} \right] v_i dH^{n-1}. \end{aligned}$$

It is easy to see that the boundary integrals vanish as  $\epsilon \rightarrow 0$  since  $u_\epsilon \rightarrow u$  in  $W^{1,p}(\partial\Omega)$ . Moreover

$$\left| D^2_{ij} \left[ \frac{|u|^{p-2}u}{h^{2p-2}} \left( \frac{\delta}{hr_\epsilon} - \frac{1}{2} \right) \gamma'_{ij} \right] \right| \leq \frac{c}{r_\epsilon} (|u|^{p-1} + |Du|^{p-1} + |D^2u|^{p-1}),$$

and so also the first integral vanishes as  $\epsilon \rightarrow 0$ .  $\square$

*Remark [III.2].* If  $\varepsilon \gg r_\varepsilon^{2p-1}$  (i.e.  $L = +\infty$ ), then, for any  $L > 0$  we have for small enough  $\varepsilon$

$$F_\varepsilon(u) \geq G(u) + Lr_\varepsilon^{2p-1} \int_{\Sigma_\varepsilon} f(x, D^2u) dx$$

for every  $u \in W^{2,p}(\Omega_\varepsilon)$ ; hence by the foregoing discussion

$$F^-(u) \geq G(u) + LK_p \int_{\partial\Omega} |u|^p h^{1-2p} \gamma(\sigma, \nu \otimes \nu) dH^{n-1}.$$

Since  $L$  is arbitrary, this implies that  $F^-(u) = +\infty$  if  $u \notin W_0^{1,p}(\Omega)$ . □

*Case  $\varepsilon \sim r_\varepsilon^{p-1}$ ,  $F^+ \leq G_M''$ .*

By the definition of  $G_M''$ , we have to prove the inequality  $F^+(u) \leq G_M''(u)$  only for  $u \in W^{2,p}(\mathbb{R}^n) \cap W_0^{1,p}(\Omega)$ . Choose such a function  $u$ , and let  $v_\varepsilon \in C_0^\infty(\mathbb{R}^n)$  be such that

$$v_\varepsilon \rightarrow u \text{ strongly in } W^{2,p}(\mathbb{R}^n), \quad \|v_\varepsilon\|_{C^1(\mathbb{R}^n)} \leq r_\varepsilon^{-\frac{1}{2}}.$$

Let  $\Psi_\varepsilon$  be the solution of the minimum problem

$$\min \left\{ \int_{\Omega} |D^2\psi|^p dx : (D\psi, \nu) = 0 \text{ and } \psi = -v_\varepsilon - \frac{r_\varepsilon h}{2} (Dv_\varepsilon, \nu) \text{ on } \partial\Omega \right\}.$$

Since  $u = 0$  on  $\partial\Omega$ ,  $\Psi_\varepsilon \rightarrow 0$  strongly in  $W^{2,p}(\Omega)$ . Set

$$\vartheta_\varepsilon(x) = - \frac{\langle Dv_\varepsilon(\sigma(x)), \nu(x) \rangle}{r_\varepsilon h(\sigma(x))} \text{ in } \Sigma_\varepsilon,$$

$$w_\varepsilon(x) = \begin{cases} v_\varepsilon(x) + \Psi_\varepsilon(x) & \text{in } \Omega, \\ \frac{[\delta(x) - r_\varepsilon h(\sigma(x))]^2}{2} \vartheta_\varepsilon(x) & \text{in } \Sigma_\varepsilon; \end{cases}$$

then  $w_\varepsilon \in W_0^{2,p}(\Omega_\varepsilon)$ ,  $w_\varepsilon \rightarrow u \cdot 1_\Omega$  in  $L^p(\mathbb{R}^n)$  and  $w_\varepsilon \rightarrow u$  in  $W^{2,p}(\Omega)$ . Moreover

$$(3.15) \quad \|D^2w_\varepsilon - \vartheta_\varepsilon \nu \otimes \nu\|_{L^p(\Sigma_\varepsilon)} \leq c.$$

If we use (2.5), the argument employed in the proof of (3.6), (3.7) yields for every  $t \in (0, 1)$

$$\limsup_{\varepsilon \rightarrow 0} F_\varepsilon(w_\varepsilon) \leq G(u) + t^{1-p} \limsup_{\varepsilon \rightarrow 0} \varepsilon \int_{\Sigma_\varepsilon} \gamma(x, \vartheta_\varepsilon \nu \otimes \nu) dx.$$

By the homogeneity of  $\gamma$ , it is enough to apply Lemma [III.1] and to let  $t \rightarrow 1$  to obtain  $F^+ \leq G_M''$ . □

*Case  $\varepsilon \sim r_\varepsilon^{p-1}$ ,  $G_M'' \leq F^-$ .*

Let  $u \in W^{2,p}(\mathbb{R}^n) \cap W_0^{1,p}(\Omega)$  and take  $u_\varepsilon \in W_0^{2,p}(\Omega_\varepsilon)$  such that  $u_\varepsilon \rightarrow u \cdot 1_\Omega$  in  $L^p(\mathbb{R}^n)$ . As we did in the part  $G_L' \leq F^-$ , we may assume that (3.9) holds and that  $u_\varepsilon \rightarrow u$  weakly in  $W^{2,p}(\Omega)$ , and it suffices to prove that

$$(3.16) \quad \liminf_{\varepsilon \rightarrow 0} \varepsilon \int_{\Sigma_\varepsilon} \gamma(x, D^2u_\varepsilon) dx \geq M \int_{\partial\Omega} |\langle Du, \nu \rangle|^p h^{1-2p} \gamma(\sigma, \nu \otimes \nu) dH^{n-1},$$

with  $\gamma$  of class  $C^\infty$  on  $\mathbb{R}^n \times (\mathbb{R}^{n \times n} \setminus \{0\})$ .

If we define  $\gamma'_{ij}$  as when  $G'_L \leqq F^-$  and  $\vartheta_\varepsilon, w_\varepsilon$  as when  $F^+ \leqq G''_M$ , then we have on  $\Sigma_\varepsilon$

$$(3.17) \quad \begin{aligned} \gamma(x, D^2u_\varepsilon) &\geqq \gamma(x, \vartheta_\varepsilon v \otimes v) + (D_{ij}^2u_\varepsilon - \vartheta_\varepsilon v_i v_j) \frac{\partial \gamma}{\partial z_{ij}}(x, \vartheta_\varepsilon v \otimes v) \\ &= |\vartheta_\varepsilon|^p \gamma(x, v \otimes v) + D_{ij}^2(u_\varepsilon - w_\varepsilon) |\vartheta_\varepsilon|^{p-2} \vartheta_\varepsilon \gamma'_{ij} \\ &\quad + (D_{ij}^2w_\varepsilon - \vartheta_\varepsilon v_i v_j) |\vartheta_\varepsilon|^{p-2} \vartheta_\varepsilon \gamma'_{ij}. \end{aligned}$$

By (3.15)

$$(3.18) \quad \varepsilon \int_{\Sigma_\varepsilon} |D_{ij}^2w_\varepsilon - \vartheta_\varepsilon v_i v_j| |\vartheta_\varepsilon|^{p-1} |\gamma'_{ij}| dx \leqq c \left( \int_{\Sigma_\varepsilon} |Dv_\varepsilon|^p dx \right)^{\frac{p-1}{p}},$$

which vanishes as  $\varepsilon \rightarrow 0$ . Moreover by Lemma [III.1]

$$(3.19) \quad \lim_{\varepsilon \rightarrow 0} \varepsilon \int_{\Sigma_\varepsilon} |\vartheta_\varepsilon|^p \gamma(x, v \otimes v) dx = M \int_{\partial\Omega} |\langle Du, \nu \rangle|^p h^{1-p} \gamma(\sigma, v \otimes v) dH^{n-1}.$$

An integration by parts yields

$$(3.20) \quad \begin{aligned} \varepsilon \int_{\Sigma_\varepsilon} D_{ij}^2(u_\varepsilon - w_\varepsilon) |\vartheta_\varepsilon|^{p-2} \vartheta_\varepsilon \gamma'_{ij} dx \\ &= -\varepsilon \int_{\Sigma_\varepsilon} D_i(u_\varepsilon - w_\varepsilon) D_j(|\vartheta_\varepsilon|^{p-2} \vartheta_\varepsilon \gamma'_{ij}) dx \\ &\quad - \varepsilon \int_{\partial\Omega} D_i(u_\varepsilon - w_\varepsilon) |\vartheta_\varepsilon|^{p-2} \vartheta_\varepsilon \gamma'_{ij} \nu_j dH^{n-1}. \end{aligned}$$

The last term vanishes as  $\varepsilon \rightarrow 0$ : indeed  $\varepsilon |\vartheta_\varepsilon|^{p-1} \leqq c |Dv_\varepsilon|^{p-1}$  and  $u_\varepsilon - w_\varepsilon \rightarrow 0$  weakly in  $W^{2,p}(\Omega)$ , so that  $D(u_\varepsilon - w_\varepsilon) \rightarrow 0$  strongly in  $L^p(\partial\Omega)$ . As for the first term we have

$$(3.21) \quad \begin{aligned} \left| \varepsilon \int_{\Sigma_\varepsilon} D_i(u_\varepsilon - w_\varepsilon) D_j(|\vartheta_\varepsilon|^{p-2} \vartheta_\varepsilon \gamma'_{ij}) dx \right| \\ \leqq c \int_{\Sigma_\varepsilon} |D(u_\varepsilon - w_\varepsilon)| (|Dv_\varepsilon|^{p-1} + |Dv_\varepsilon|^{p-2} |D^2v_\varepsilon|) dx \\ \leqq c \left[ \int_{\Sigma_\varepsilon} |D(u_\varepsilon - w_\varepsilon)|^p dx \right]^{1/p} \end{aligned}$$

since  $(v_\varepsilon)$  is bounded in  $W^{2,p}(\mathbb{R}^n)$ . For every  $x \in \Sigma_\varepsilon$

$$\begin{aligned} |D(u_\varepsilon - w_\varepsilon)(x)|^p &\leqq \left[ \int_0^{r_\varepsilon h(x)} |D^2(u_\varepsilon - w_\varepsilon)(\sigma(x) + tv(x))| dt \right]^p \\ &\leqq cr_\varepsilon^{p-1} \int_0^{r_\varepsilon h(x)} |D^2(u_\varepsilon - w_\varepsilon)(\sigma(x) + tv(x))|^p dt, \end{aligned}$$

so that, by (3.9) and (3.15),

$$\begin{aligned} \int_{\Sigma_\varepsilon} |D(u_\varepsilon - w_\varepsilon)|^p dx &\leq cr_\varepsilon^p \int_{\Sigma_\varepsilon} |D^2(u_\varepsilon - w_\varepsilon)|^p dx \\ &\leq cr_\varepsilon \varepsilon \int_{\Sigma_\varepsilon} (|D^2 u_\varepsilon|^p + |D^2 w_\varepsilon|^p) dx \leq cr_\varepsilon; \end{aligned}$$

together with (3.20), (3.21) this implies

$$\lim_{\varepsilon \rightarrow 0} \varepsilon \int_{\Sigma_\varepsilon} D_{ij}^2(u_\varepsilon - w_\varepsilon) |\partial_\varepsilon|^{p-2} \partial_\varepsilon \gamma'_{ij} dx = 0,$$

and (3.16) follows by (3.17), (3.18), (3.19).  $\square$

Case  $\varepsilon \ll r_\varepsilon^{2p-1}$ . The inequality  $F^- \geq G'_0 = G$  is trivial. On the other hand, for every  $L > 0$  we have for all sufficiently small  $\varepsilon$

$$F_\varepsilon(u) \leq G(u) + Lr_\varepsilon^{2p-1} \int_{\Sigma_\varepsilon} f(x, D^2 u) dx,$$

whence  $F^+ \leq G'_L$  for all  $L > 0$ , and  $F^+ \leq G'_0$  follows as  $L \rightarrow 0$ .  $\square$

Case  $r_\varepsilon^{2p-1} \ll \varepsilon \ll r_\varepsilon^{p-1}$ . We already proved in Remark [III.2] that  $F^- \geq G''_0$ , and the inequality  $F^+ \leq G''_0$  is proved as in the case above.  $\square$

Case  $\varepsilon \gg r_\varepsilon^{p-1}$ . Since  $F^+ \leq G''_\infty$  is trivial, we need only prove the inequality  $F^- \geq G''_\infty$ , which is derived from the case  $\varepsilon \sim r_\varepsilon^{p-1}$  by an argument like to the one we used in Remark [III.2].  $\square$

We pass now to the last assertion of Theorem [II.3]. Let  $\lim_{\varepsilon \rightarrow 0} \varepsilon/r_\varepsilon^{2p-1} > 0$  and  $g \in L^q(\mathbb{R}^n)$ . By Theorem [II.1] the functionals

$$\tilde{F}_\varepsilon(u) = F_\varepsilon(u) + \int_{\Omega_\varepsilon} gu dx$$

are  $I^-(L^p(\mathbb{R}^n))$ -convergent to  $F(u) + \int_\Omega gu dx$ ; hence they satisfy condition (ii)

of Theorem [II.2]. To conclude the proof of Theorem [II.3] we only have to show that the functionals  $\tilde{F}_\varepsilon$  satisfy also condition (i), that is

$$\tilde{F}_\varepsilon(u_\varepsilon) \leq c \quad \text{for all } \varepsilon \Rightarrow (u_\varepsilon) \text{ is relatively compact in } L^p(\mathbb{R}^n).$$

By (2.2), (2.6) we may assume that

$$\int_\Omega |D^2 u_\varepsilon|^p dx + \varepsilon \int_{\Sigma_\varepsilon} |D^2 u_\varepsilon|^p dx + \int_{\Omega_\varepsilon} g u_\varepsilon dx \leq c.$$

Take any  $\eta > 0$ ; for a suitable constant  $C_\eta$

$$(3.22) \quad \int_\Omega |D^2 u_\varepsilon|^p dx + \varepsilon \int_{\Sigma_\varepsilon} |D^2 u_\varepsilon|^p dx \leq C_\eta + \eta \int_{\Omega_\varepsilon} |u_\varepsilon|^p dx.$$

For every  $\sigma \in \partial\Omega$  and  $t \in [0, r_\varepsilon h(\sigma)]$

$$|u_\varepsilon(\sigma + tv(\sigma))|^p = \left| \int_t^{r_\varepsilon h(\sigma)} (s-t) \langle D^2 u_\varepsilon(\sigma + sv(\sigma)), v \otimes v \rangle ds \right|^p$$

$$\leq cr_\varepsilon^{2p-1} \int_0^{r_\varepsilon h(\sigma)} |D^2 u_\varepsilon(\sigma + sv(\sigma))|^p ds,$$

so that

$$(3.23) \quad \int_{\partial\Omega} |u_\varepsilon|^p dH^{n-1} \leq cr_\varepsilon^{2p-1} \int_{\Sigma_\varepsilon} |D^2 u_\varepsilon|^p dx,$$

$$(3.24) \quad \int_{\Sigma_\varepsilon} |u_\varepsilon|^p dx \leq cr_\varepsilon^{2p} \int_{\Sigma_\varepsilon} |D^2 u_\varepsilon|^p dx.$$

On the other hand for every  $v \in W^{2,p}(\Omega)$

$$\int_\Omega |v|^p dx \leq c \left[ \int_\Omega |D^2 v|^p dx + \int_{\partial\Omega} |v|^p dH^{n-1} \right],$$

with  $c$  depending only on  $\Omega$  and  $p$ ; hence by (3.23) and since  $\lim_{\varepsilon \rightarrow 0} \varepsilon/r_\varepsilon^{2p-1} > 0$

$$(3.25) \quad \int_\Omega |u_\varepsilon|^p dx \leq c \left( \int_\Omega |D^2 u_\varepsilon|^p dx + \varepsilon \int_{\Sigma_\varepsilon} |D^2 u_\varepsilon|^p dx \right).$$

If  $\eta$  is properly chosen, inequalities (3.22), (3.24), (3.25) yield

$$\int_\Omega |D^2 u_\varepsilon|^p dx + \varepsilon \int_{\Sigma_\varepsilon} |D^2 u_\varepsilon|^p dx \leq c;$$

then  $\int_{\Sigma_\varepsilon} |u_\varepsilon|^p dx \rightarrow 0$  by (3.24) and  $\|u_\varepsilon\|_{W^{2,p}(\Omega)} \leq c$  by (3.25).  $\square$

#### IV. Remarks

In this section we give some extensions of Theorem [II.3], and we show how this applies to the mechanical problem mentioned in the introduction.

*Remark [IV.1].* The function  $h: \partial\Omega \rightarrow ]0, +\infty[$  may be assumed to be only continuous: in this case it is enough to approximate  $h$  uniformly by smooth functions  $h_j$ ; applying Theorem [II.3] to  $h_j$  and passing to the limit in  $j$  gives the result for  $h$ .

*Remark [IV.2].* Theorem [II.3] can be extended by a slight modification of the proof to the case

$$F_\varepsilon(u) = G_\varepsilon(u) + \varepsilon \int_{\Sigma_\varepsilon} f(x, D^2 u) dx,$$

where the functionals  $G_\varepsilon$  satisfy

$$(4.1) \quad \liminf_{\varepsilon \rightarrow 0} G_\varepsilon(u_\varepsilon) \geq G(u) \quad \text{if } u_\varepsilon \rightarrow u \text{ weakly in } W^{2,p}(\Omega).$$

$$(4.2) \quad \lim_{\varepsilon \rightarrow 0} G_\varepsilon(u_\varepsilon) = G(u) \quad \text{if } u_\varepsilon \rightarrow u \text{ strongly in } W^{2,p}(\Omega).$$

$$(4.3) \quad G_\varepsilon(u) \geq \int_{\Omega} |D^2u|^p dx \quad \text{for every } \varepsilon > 0 \text{ and } u \in W^{2,p}(\Omega).$$

The  $\Gamma$ -limits  $G'_L$  and  $G''_M$  are the functionals defined in Section II.

*Remark [IV.3].* It is clear that if one of the two limits  $\lim_{\varepsilon \rightarrow 0} \varepsilon/r_\varepsilon^{2p-1}$  and  $\lim_{\varepsilon \rightarrow 0} \varepsilon/r_\varepsilon^{p-1}$  fails to exist, then the functionals  $F_\varepsilon$  do not  $\Gamma$ - $(L^p(\mathbb{R}^n))$ -converge.

We are now able to study the convergence of the solutions  $u_\varepsilon$  of (1.2): it is enough to prove that the functionals  $F_\varepsilon$  defined in (1.1) satisfy the requirements of Remark [IV.2].

We recall that the Young modulus  $E_\varepsilon$  of the material in  $\Sigma_\varepsilon$  vanishes as  $\varepsilon \rightarrow 0$ , and the Poisson coefficient  $\sigma_\varepsilon$  converges to some  $\sigma_0 > -1$ . Since for every  $u \in H^2_0(\Omega_\varepsilon)$

$$\int_{\Omega_\varepsilon} \det D^2u dx = 0,$$

we may write

$$F_\varepsilon(u) = G_\varepsilon(u) + \frac{E_\varepsilon}{1 - \sigma_\varepsilon^2} \int f(D^2u) dx,$$

where

$$G_\varepsilon(u) = \int_{\Omega} \left[ \frac{E}{1 - \sigma^2} |\Delta u|^2 - 2 \left( \frac{E}{1 + \sigma} + \frac{(\sigma_\varepsilon - \sigma_0) E_\varepsilon}{1 - \sigma_\varepsilon^2} \right) \det D^2u \right] dx,$$

$$f(D^2u) = |\Delta u|^2 - 2(1 - \sigma_0) \det D^2u.$$

Since the Poisson coefficients are numbers less than  $\frac{1}{2}$  (and greater than 0 for all known materials: see [6]), the function  $f$  satisfies hypotheses (2.1), (2.2), (2.3); moreover it is easy to see that  $G_\varepsilon$  satisfies hypotheses (4.1), (4.2), (4.3). Then Remark [IV.2] applies, and so the conclusion described in the introduction is attained.

### References

1. ACERBI, E., & G. BUTTAZZO: *Reinforcement problems in the calculus of variations.* Ann. Inst. H. Poincaré, Analyse Non-Linéaire, to appear.
2. ATTOUCH, H.: *Variational convergence for functions and operators.* Applicable Math. Series, Pitman, London, 1984.
3. BREZIS, H., L. CAFFARELLI, & A. FRIEDMAN: *Reinforcement problems for elliptic equations and variational inequalities.* Ann. Mat. Pura Appl. (4) 123 (1980), p. 219-246.

4. CAFFARELLI, L., & A. FRIEDMAN: *Reinforcement problems in elastoplasticity*. Rocky Mountain J. Math. **10** (1980), p. 155–184.
5. DE GIORGI, E., & G. DAL MASO:  *$\Gamma$ -convergence and calculus of variations*. Mathematical theories of optimization. Proceedings, 1981. Ed. by J. P. CECCONI & T. ZOLEZZI. Lecture Notes Math. **979**. Springer, Berlin, Heidelberg, New York 1983.
6. LANDAU, L., & E. LIFSCHITZ: *Théorie de l'élasticité*. MIR, Moscow, 1967.
7. MORREY, C. B., Jr.: *Quasi-convexity and the semicontinuity of multiple integrals*. Pacific J. Math. **2** (1952), p. 25–53.
8. SANCHEZ-PALENCIA, E.: *Non-homogeneous media and vibration theory*. Lecture Notes Phys. **127**. Springer, Berlin, Heidelberg, New York 1980.

Scuola Normale Superiore  
Pisa, Italy

(Received July 15, 1985)